

Contractor Safety Management

SRP Generation Engineering
Project Management and Construction

rras, Manager
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Management and Construction

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Objectives

- Provide High level overview of SRP's Contractor Safety Management Program (CSMP)
- Communicate SRP Generation Engineering Project Management and Construction's Organizational CSMP
 - CSMP Enhancement Goals
 - Organization Expectations

Purpose

Contractor Safety Management Program(CSMP)

- Emphasize SRP's *Safety Core Value*
 - Eliminate/reduce incidents
 - Reinforce SRP's commitment to a safe workplace
 - Identify risk and ensure effective transfer through vendor qualification
 - Develop effective contracts
 - Request insurance certificate records, safety history reviews
 - Hire **SAFE** contractors
 - Hold contractors accountable for their safety and compliance when performing work for SRP
-

Definition of “Contractor”

- OSHA Defines a “Contractor” by the type of work they are contracted to perform.
- The types of work performed to be considered a “Contractor” by OSHA includes:
 - any construction and/or related work
 - alterations and/or repairs
 - painting
 - decorating

US Construction Safety by the Numbers*

- Employees6,628,000
- Fatalities.....937
 - Recordable Injuries204,7000
 - Cases with days away from work.....79,900
 - Cases with job transfer or restriction.....36,200
 - Other recordable cases.....88,700

* Source: US Bureau of Labor Statistics (2015). <https://www.bls.gov>

Costs of Injuries*

- Human Suffering

- Injured worker and family

- Direct Cost

- Objective
- \$40,000 - \$42,000 per injury

- Indirect Cost

- Subjective
- Difficult to measure
- 3 to 10 times direct costs

Direct Costs

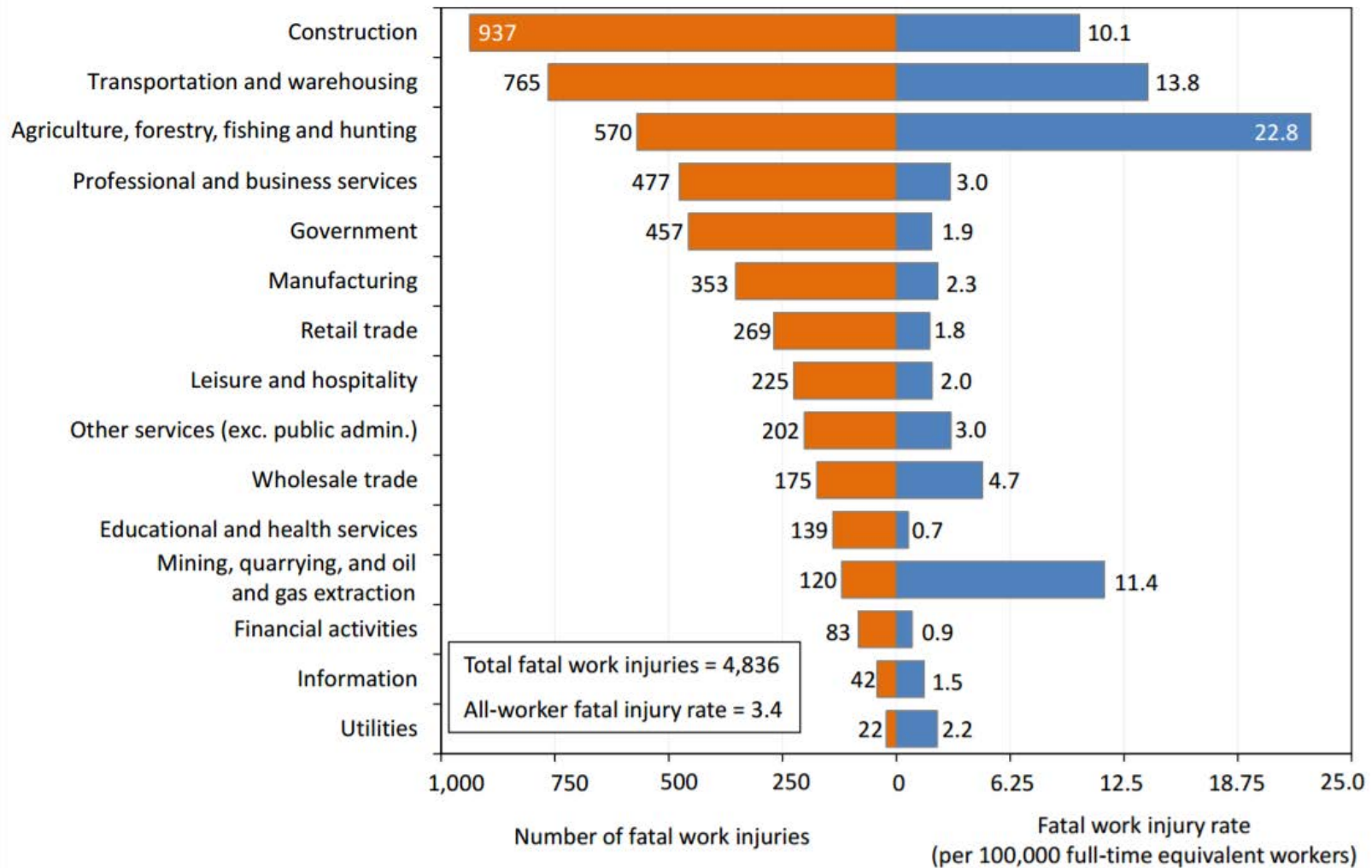
- Investigation time
- Claim costs
- Fines

Indirect Costs

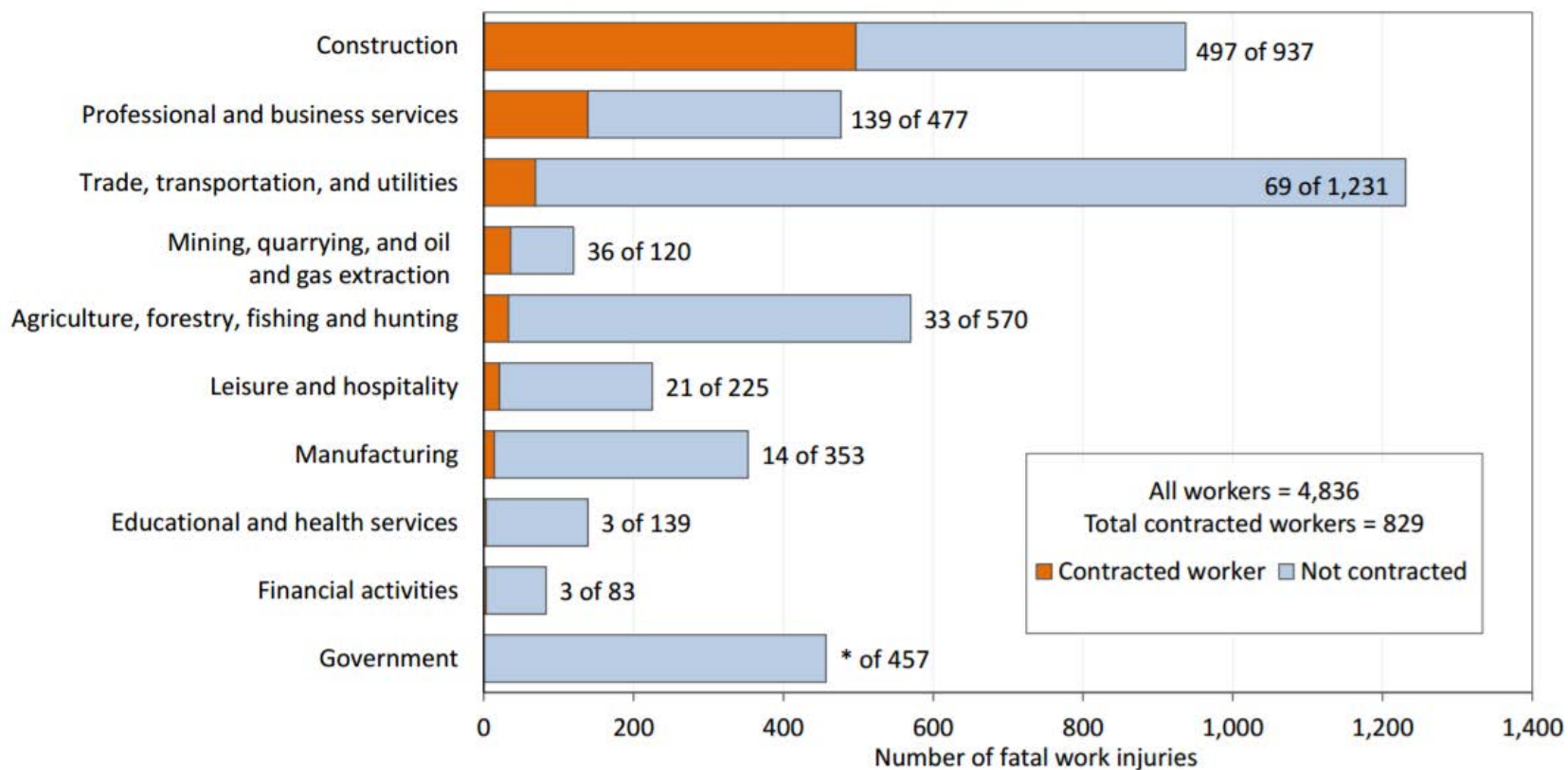
- Lost time of contractors or employees
- Schedule delays
- Legal fees
- Increased insurance costs
- Productivity losses
- Retraining cost

* Source – OSHA and National Center for Biotechnology Information

Number and rate of fatal work injuries by industry sector, 2015



Fatal work injuries involving contracted workers by industry of direct employer, 2015



In 2015, the private construction industry had the highest number of fatal injuries involving contracted workers. Fifty-three percent (or 497 out of 937 fatal injuries) were contracted workers. Professional and business services saw the second largest number with 139 of 477 (or 29 percent) of fatal injuries involving contracted workers.

It's Up to the Owners...

Owners

- Support humanity of safe operations
- Have clear business incentive
 - Monetary
 - Public relations
- Hold greatest potential leverage for change
 - Authority to influence behavior of others through:
 - Prequalify contractors
 - Contract language
 - Potential for repeat business
 - Set requirements for their site

PURCHASING STANDARDS

All prospective SRP contractors must complete the Contractor Prequalification Safety Questionnaire. Requesters should contact their assigned Purchasing Agent to direct the supplier to the SRP website and to provide a link and password.

Any supplier being considered for prime contracting services at SRP should have a safety score equivalent or higher than the benchmark established by the CSMP process. It should be noted that companies identified as small businesses have a different benchmark. If a supplier needs to be used who is under the benchmark, a waiver may be requested from management “Director” level or higher.

For additional information on the CSMP process, please contact Safety Services or the assigned Purchasing Agent.

<https://insrp/Departments/purchasing/Documents/SRP%20Purchasing%20Standards.pdf>

Vendor/Contractor Safety Pre-Qualification

- SRP Purchasing Services Role
 - Determines CSMP participation by the type of Service/Work to be provided to SRP
 - Requests potential Vendor/s completion of the Contractor Pre-Qualification Safety Questionnaire



CONTRACTOR SAFETY MANAGEMENT PROGRAM (CSMP) PRE-QUALIFICATION SAFETY QUESTIONNAIRE

Omitting or reporting false information on this questionnaire could result in disqualification or removal from the Supplier Information Database.

SRP reserves the right to conduct random or for-cause audits of information stated in this questionnaire. Additional documentation may be requested by SRP to support statements made on the questionnaire.

Company name

Address

City State ZIP Code

Web address

Name (please print)

Title

Telephone number Email address

Signature Date

Name of the SRP Purchasing person you are working with (this must be filled out in order to process your questionnaire properly)

SECTION FOR SRP USE ONLY: SRP vendor # _____

Reviewed by: _____ Date: _____

Reviewed by: _____ Date: _____

Reviewed by: _____ Date: _____

Reviewed by: _____ Date: _____



SAFETY MANAGEMENT STATEMENT

At SRP, safety is a fundamental core value. SRP and its employees are committed to conducting all business and operations with the highest regard for the safety of employees, contractors, customers and the public.

SAFETY GOALS

- › Conduct business in a responsible manner that promotes the safety, health and well-being of our employees, our contractors and the public.
- › Provide a safe and healthful work environment free from recognized hazards.
- › Strive to prevent all work-related injuries, occupational illnesses and property damage.
- › Regularly evaluate our safety performance to ensure that we continually meet or exceed all safety, health and environmental regulations.
- › Investigate incidents, incorporate lessons learned and communicate findings to affected employees.

KEY PRINCIPLES OF SAFETY MANAGEMENT

- › Safety is the responsibility of both management and employees. Management is committed to a safe and healthful work environment.
- › Management is committed to visible involvement in the safety of employees.
- › Management is responsible for ensuring that workers are encouraged to report hazards, symptoms, injuries and illnesses.
- › Supervisors are responsible for providing safety training, equipment and materials and ensuring employees' compliance with all safety procedures, rules and regulations.
- › ALL employees are responsible and accountable for working safely and actively caring for and committing to each other's safety.

General Manager & CEO

President

A – SAFETY HISTORY

EXPERIENCE MODIFICATION RATE (EMR)

Please contact your insurance carrier to determine and validate the following requested EMR information responses before submitting to SRP. Please be prepared to show a certified letter that includes the EMR data for the current year and each of the previous three (3) calendar years.

All responses given in this questionnaire may require submission of additional support documentation upon request by SRP. If supporting documentation is not provided by your company as requested by SRP, your company may be suspended from further consideration as a contract service provider for SRP until the support documentation has been received and reviewed by SRP. Submitting documentation does not guarantee acceptance.

Provide Workers' Compensation EMR for your company for the current year and each of the previous three (3) calendar years as determined by the NCCI.

Contract	EMR	Comments

OSHA NON-COMPLIANCE CITATIONS

Provide the number of upheld OSHA Non-Compliance Citations received in the current year and each of the previous three calendar years. Provide an explanation of the nature of each citation in the space provided.

All responses given in this questionnaire may require submission of additional support documentation upon request by SRP. If supporting documentation is not provided by your company as requested by SRP, your company may be suspended from further consideration as a contract service provider for SRP until the support documentation has been received and reviewed by SRP. Submitting documentation does not guarantee acceptance.

Contract	No. citations upheld	Please explain (attach additional sheets if needed)

OSHA SAFETY INFORMATION

Provide data for the current year and each of the previous three (3) calendar years.

Note: Most of this information is available on your company OSHA 300A Summary.

All responses given in this questionnaire may require submission of additional support documentation upon request by SRP. If supporting documentation is not provided by your company as requested by SRP, your company may be suspended from further consideration as a contract service provider for SRP until the support documentation has been received and reviewed by SRP. Submitting documentation does not guarantee acceptance.

	Current year	3 previous calendar years		
No. of months				
Total employee hours worked				
Total number of OSHA recordable injury/illness cases				
Total number of lost-time injury/illness cases				
Total days away from work due to injury/illness				

4. NUMBER OF FATALITIES

All responses given in this questionnaire may require submission of additional support documentation upon request by SRP. If supporting documentation is not provided by your company as requested by SRP, your company may be suspended from further consideration as a contract service provider for SRP until the support documentation has been received and reviewed by SRP. Submitting documentation does not guarantee acceptance.

Please enter the number of fatalities experienced for the current year and the previous three calendar years in the spaces provided. Please enter a brief explanation for each fatality in the space provided below. (Attach additional sheets as needed.)

	Current year	3 previous calendar years		
No. of months:				
Number of fatalities:				

B – SAFETY AND HEALTH PROGRAMS

All responses given in this questionnaire may require submission of additional support documentation upon request by SRP. If supporting documentation is not provided by your company as requested by SRP, your company may be suspended from further consideration as a contract service provider for SRP until the support documentation has been received and reviewed by SRP. Submitting documentation does not guarantee acceptance.

- Does your company have a substance abuse screening program? Yes No
 If yes, does your program include:
 Pre-hire screening testing? Yes No
 Post-accident testing? Yes No
 Random testing? Yes No
- Does your company have a written occupational safety and health program? Yes No
(This may be part of a company operational program or human resources program used to provide employees with safety awareness, rules and expectations, i.e., safety policies, procedures and guidelines employees are required and/or expected to follow while employed with your company.)
- Do your work crews conduct daily pre-work job briefings (tailboards) before the start of each shift/job? Yes No
- Does your company conduct driver's license record verification for every employee required to operate a company vehicle on the job? Yes No
 If yes, please indicate the frequency and type of verification performed below. Please select all that apply:
 Upon Hire Annual Random Accident Occurrence/Other

CERTIFICATIONS AND SPECIALTY TRAINING

Information given in this questionnaire may require submission of additional support documentation upon request. If supporting documentation is not provided by your company as requested by SRP, your company may be given further consideration as a contract service provider for SRP until the support documentation has been reviewed by SRP. Submitting documentation does not guarantee acceptance.

Do your company document employee specialty certifications for specific trades, professions or job functions? Yes No

If yes, please specify: Safety CDL/ Vehicle Operations Material Handling Equipment (forklift/crane/other)

If no, please enter in the space provided below:
 Additional certifications

Do your employees completed OSHA 500 or OSHA 501 training? Yes No

Do your employees completed OSHA 10-hour or OSHA 30-hour training? Yes No

Describe any additional safety-related training provided to your employees, by type and frequency, in the space provided below: (Attach additional sheets as needed.)

ADDITIONAL INFORMATION

Provide any additional information not previously disclosed during completion of this questionnaire that may affect the SRP CSMP safety review and scoring process in the space provided below: (Attach additional sheets as needed.)

CONTRACTOR SAFETY MANAGEMENT PROGRAM SUMMARY

Report Date: _____

Contractor:

Vendor #: 0000010602 Small Contractor (10 employees or less) Exempt Contractor (Log sheets not required)

CSMP Score: Total 53 Safety 21 Questions 32
 Review Completed: No

Pre-Qualification Date: 10/09/2012

SECTION A--SAFETY HISTORY Section A Total Score: 21

Calendar Year	# of Manhours	EMR		INJURY/ILLNESS INCIDENTS			LOST TIME INJURY/ILLNESS			LOST WORK DAYS			FATALITIES		OSHA 10/30
		Rate	Score	Qty	Rate	Score	Qty	Rate	Score	Qty	Rate	Score	Qty	Score	
2011	0	0.85	9	0	0.00	0	0	0.00	0	0	0.00	0	0	6	0
2010	0	0.92	6	0	0.00	0	0	0.00	0	0	0.00	0	0	6	0
2009	0	0.95	6	0	0.00	0	0	0.00	0	0	0.00	0	0	6	0
Total / Avg	0			0	0.00	0	0	0.00	0	0	0.00	0	0	6	0

SECTION B--SAFETY AND HEALTH PROGRAMS

- Pre-Hire Drug Testing
- Post Accident Drug Testing
- Random Drug Testing
- Occupational Safety & Health Program
- Daily Tailboard Meetings
- Driver License Verification

Section B Total Score: 25

SECTION C--CERTIFICATION & SPECIAL TRAINING

- Specialty Certification Documentation
- OSHA 500/501 Training
- OSHA 10/30 Hour Training
- Other Safety Training

Section C Total Score: 7

COMMENTS:

Writer: CSGREENG **Date:** 10/09/2012
 ABOVE BENCHMARK

Vendor/Contractor Safety Pre-Qualification

Vendors



- Retrieve, complete and return the CSMP questionnaire, along with supporting documentation
 - Prior to being awarded any contract with SRP (new vendors)
 - Every 3 years (existing vendors)
 - Emails complete questionnaire and information to: CSMP1@srpnet.com
 - Safety Score Benchmark should be met prior to contract award

Requirements for on-site services

If your company will be providing on-site services to SRP and your SRP Purchasing contact requests a Contractor Safety Management Program questionnaire (CSMP), you must complete the steps below.

New Vendors: Please combine the completed CSMP form and all supporting documents into one .pdf file and attach it to your new vendor application form prior to submission.

Existing Vendors: Please combine the completed CSMP form and all the supporting documents into one .pdf file and e-mail to CSMP1@srpnet.com or fax to (602) 629-7824.

1. Complete the [Contractors Safety Management Program \(CSMP\) form](#) .*
2. Provide copies of OSHA 300 logs or 300A summaries for the past three (3) years.
3. Provide copies of your experience modification rating (EMR)** for the last three (3) years. (You will need to request this from your company's insurance provider. It can be in letter format or on the individual NCCI sheets.)
4. If requested by a Procurement Agent, please provide a copy of the Insurance Certificate ([view sample](#) )

*Note: If your company does not provide the service on an SRP site, the CSMP form is not required.

**Note: If your company can not provide Experience Modification Rating scores, please provide a written explanation from your insurance carrier stating the reason.

Vendor / Contractor Safety Pre-Qualification

Safety Services

- Receives and reviews Questionnaire responses
 - Enters responses into the Risk Management Information System (RMIS)
 - RMIS automatically calculates the Vendor/Potential Contractor Safety Score
 - CSMP SharePoint Site acts as the records retention for SRP CSMP Vendor Safety Scores
- Provides Safety Scores to Purchasing Services/Internal Organization
 - Forwards vendor safety scores to Purchasing Services Agents
 - Recommends Internal Organization review of vendor safety scores
 - Small Company (</= 10 employees) must score 38+
 - Large Company (>10 employees) must score 60+

Vendor / Contractor Safety Pre-Qualification

- Recognizes Purchasing Services Vendor Qualification Waiver Process
 - Vendors not meeting SRP Safety Score benchmark
 - Sole Proprietorships
 - Vendor Availability
 - Ensures Ongoing Contractor Safety Management
 - Co-ops with contractors and SRP internal organizations to ensure continuous safety focus and compliance during contract completion
 - Zero injury/incident goals
 - Zero OSHA citations
-

SRP Organization

Contractor Safety Management (CSM)

Organization

- Field Contractor Safety Management (Project Management)
 - Facilitate Pre-Construction/ Pre-Job Meetings
 - Contractor Safety Orientation (including Sub-contractors)
 - Perform Jobsite Safety/Compliance Reviews
 - Engineering, Administrative, PPE Controls
 - Written safety programs
 - Project design safety
 - Job Hazard Analyses (JHA) /Site Safety Assessments
 - Task process / performance reviews

SRP Organization

Contractor Safety Management (CSM)

- Personal Protective Equipment (PPE) Assessments/Use
 - Contractor Safety Injury/Incident Reports
 - Require Contractor Incident reports
 - Provide Contractor Incident Reports to SRP Safety Services
 - Contractor Review/Follow up
 - Investigations/Findings
 - Contractor /Sub-Contractor corrective actions
-

Generation Engineering Project Management and Construction

CSMP Enhancement

CSMP Enhancement Goals

(Generation Engineering Project Management and Construction)

- Enhance Organization's Contractor Selection Process
 - Utilization of the Tactical Elements Survey
 - for projects > \$1Mil.
 - Contractor Score Card use
 - Post- performance
- Establish Clear Safety and Environmental Expectations
 - Clarification Documents
 - RFP / Contract (Specific Conditions)
 - Contractor Orientation (Specific Conditions & Safety and Environmental Rules Book)
 - Jobsite Construction (Safety and Environmental Rules Book)

**SALT RIVER PROJECT
SPECIFIC CONDITIONS**

<u>SECTION</u>	<u>TITLE</u>	<u>PAGE</u>
1	PROJECT DESCRIPTION	1
2	SCOPE AND INTENT OF CONTRACT DOCUMENTS	1
3	AUTHORITY OF THE BUYER	2
4	PROPOSAL DATA	2
5	INFORMATION PROVIDED TO THE BIDDERS	3
6	PROJECT COORDINATION, SCHEDULE, AND PROGRESS REPORTING	3
7	PRE-CONSTRUCTION MEETING	4
8	CONTRACT SCHEDULE AND RESOURCE PLAN	5
9	EQUIPMENT & MATERIAL PROVIDED BY THE BUYER	9
10	EQUIPMENT & MATERIAL TO BE PROCURED BY THE CONTRACTOR	11
11	INFORMATION PROVIDED TO THE CONTRACTOR	11
12	MODIFICATIONS	12
13	CHANGES TO THE CONTRACT	12
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Safety and Environmental Rules Book

(Generation Engineering Project Management and Construction)

- **Pocket-sized book includes:**
 - General Contractor/SRP Organization roles and responsibilities
 - SRP General Safety and Environmental Safety rules
 - Work-specific safety and environmental
 - Emergency Response/Planning expectations
 - Required Safety form templates



CONTRACTOR SAFETY & ENVIRONMENTAL NOTES

on Engineering



Delivering more than power.™

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Contractor Environmental Permits

Contractors may be required to provide some or all of the following environmental permits and/or notifications otherwise directed by the Plant or Construction Coordinator.

Contractors may need to obtain additional permits/notifications not listed here in order to maintain compliance with federal and local regulatory requirements.

1. **Fugitive Dust Permits**
If a Contractor is performing work on SRP property that disturbs > 0.1 acre, then the Contractor must obtain a permit and work under their own permit. This includes parking areas, parking, driving and construction activities.
2. **Construction Stormwater Permit**
If a Contractor is performing work on SRP property that disturbs > 1.0 acre that may discharge to a federal or the U.S. body of water, then the Contractor must obtain a permit and work under their own permit.
3. **Asbestos Notifications and National Emission Standards for Hazardous Air Pollutants (NESHAP) Permits**
Persons involved in lead-abatement projects must obtain certification to perform certain lead-based paint activities under 40 CFR Part 763.101 or an authorized state or tribal program.
If a Contractor is working on an SRP location that is a property that meets the definition of renovation or demolition, then forward the information to the Environmental Crites in Environmental Support Services so that a 15-day NESHAP notification can be completed before work begins.

's Expectations for Contractor Safety

Contractors are required to comply with both applicable safety standards and any work requirements specified by the project site.

Contractor is the primary responsible party for safety at a work site, however, SRP requires every work area to be responsible for safety. This means anyone may correct an unsafe act or condition observed.

SRP's goals for employee, public and Contractor safety are:

ZERO ACCIDENTS

ZERO INJURIES AND OCCUPATIONAL ILLNESSES

Requires a drug free, alcohol free, and weapons free workplace.

SRP considers safety performance prior to award of service contracts. Items evaluated include:

Safety Rating (EMR, OSHA Frequency Rates, upheld OSHA citations, etc.)

Prior SRP Experience

Safety Management Programs

Safety Training

SRP supports continuous positive feedback for good safety results and encourages a cooperative relationship with Contractors to ensure a safe work environment.

Generation Engineering Interaction with Contractors

PP Construction Coordinator (CC) will be available for consultation on issues or concerns not only of the Contractor and its employees.

PP Safety Representative (SR) may also be available to clarify safety requirements.

Qualified Contractor Designated Safety Representative (CDSR) is required. The CDSR is responsible for communicating with the CC or the CC's designee regarding safety matters and for reviewing the Contractor's operations from a safety perspective throughout the contract.

Contractor employees will be required to report any unsafe act or condition to the Generation Engineering Representative at the work site.

Employees at the project site may stop a job if an unsafe act or condition is serious or life threatening. The responsible person will communicate the event to the CDSR. The communication will occur before the start of the shift on which the event happened.

The Contractor's responsibility to provide a safe worksite and enforce all applicable safety requirements and regulations.

Safety and Environmental Rules Book

(Generation Engineering Project Management and Construction)

Generation Engineering Project Management & Construction thanks the following SRP organizations for their assistance in the development of the CSMP Enhancements:

- NGS/CGS Management
- Environmental Health Safety Services
- Purchasing Services
- Safety Services

and the

- Law Department

GENERATION ENGINEERING RISK ASSESSMENT FOR QUALIFICATION CONTRACTOR SAFETY – TACTICAL ELEMENTS SCORECARD



To ensure a reasonable understanding of the contractor's safety practices, please complete this scorecard using the contractor's survey response and return it to PM&CS in Generation Engineering within five business days of the contractor's response submission.

Name: _____ Date: _____

Contractor Information

Company Name	Vendor ID
Address	Phone Number

1 = Poor 2 = Fair 3 = Satisfactory 4 = Good 5 = Excellent

Leadership

Policy confirming commitment and demonstration to health and safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Policy/Procedure requiring participation in Injury and near-miss investigations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Procedure requiring review of safety performance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Policy and Leadership Performance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Risk Management

Daily pre-task Job Safety Analysis occurs before work begins	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Job Safety Analysis performed during task	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Chemical Safety Data Sheets, handling, and storage procedures have been reviewed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Risk Management Performance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Strategic Planning, Goals, and Objectives

Contractor has established a "Zero" accident goal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contractors submitted a site specific safety plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Strategic Planning, Goals, and Objectives Performance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SCORECARD - Contractor Safety Tactical Elements

Structure and Responsibility

Project Safety Manager reports to at least Project Manager level	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Project Safety Manager has authority to correct recognized hazards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Structure and Responsibility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Programs and Procedures

Substance-abuse program is in place	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Compliance with appropriate personal protective equipment requirements is 100%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Programs and Procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Asset and Operations Integrity

Mechanical inspection programs for all rolling/moving equipment and compressors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tool/equipment inspection program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lockout and tagout procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Permits (e.g., Hot Work, Confined Space etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Asset and Operations Integrity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Emergency Preparedness

Complete project site emergency response plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Emergency Preparedness	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Awareness, Training, and Competency

Contractor supervision receives training (i.e. First Aid, CPR, OSHA, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Incentive programs are in place that include immediate reinforcement as structured element	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Includes a "zero-injury" incentive program based on "leading" not "lagging" indicators (i.e. observations, near miss/close call/good catch programs) at the beginning of the project	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Supervisors are evaluated and rewarded based on established, written safety performance criteria	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Zero-injury expectations are shared and explained to all site employees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Each individual worker receives safety training prior to performing any work on the job	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

GENERATION ENGINEERING CONTRACTOR PERFORMANCE SCORECARD



To ensure a reasonable understanding of the contractor's safety practices and performance, please complete and return it to PM&CS in Generation Engineering within five business days of the contractor's work

Date: _____

Information

		Vendor ID	
		Title	
Start:	End:	Phone Number	

	1 = Poor	2 = Fair	3 = Satisfactory	4 = Good	5 = Excellent
Performance					
Safety Goals Documented and Visible	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contractor Designated Safety Representative Readily Available and Engaged	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pre-task Planning	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tailboards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Responsiveness to Safety Corrections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Housekeeping	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Safety Performance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	1 = Poor	2 = Fair	3 = Satisfactory	4 = Good	5 = Excellent
Orientation & Training					
Project Specific Orientation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adherence to Site Orientation Requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Safety Policies and Procedures Documented and Readily Available	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Formal Safety Training	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Safety Orientation & Training	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Incidents Investigated Thoroughly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Incidents Reported to Project Leader	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Accidents Without Injury Documented and Investigated	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Project Accident Review Team Established For All Accidents or Incidents	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Accident Reports and Project Safety Statistics Reported To Home Office	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Responsiveness of Executive Management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Accidents/Incidents Investigations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

Comments

Comments

Comments

Comments

Overall Safety Rating (average the rating numbers above)

Work Performance

	1 = Poor	2 = Fair	3 = Satisfactory	4 = Good	5 = Excellent
Environmental Compliance					
Dust Control	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Spill Containment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SDS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Environmental Compliance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Describe any Environmental Citations

Quality of Work

Craftsmanship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adequately Trained Workforce	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adequate Tools & Equipment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Quality of Work	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Cost Management – Any Change Orders? No (Skip to Schedule) Yes (Answer Following)

Accuracy of Project Bid to Actuals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Number of or Frequency of Change Orders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fairness and Accuracy of Change Orders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overall Cost Management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Schedule

Quality and Timeliness of Initial Project Schedule

Contractor Pre/Post Assessments

(Generation Engineering Project Management and Construction)

- Tactical Elements Scorecard
 - Pre-Bid Assessment
 - Issued to potential vendors for completion during RFP
 - Reviewed by organization along with Corp. Safety Score
 - Contractor Performance Scorecard
 - Post Performance Assessment
 - Issued to Contractor after job is complete
 - Used to determine future contract awards for contractor
-

Summary

SRP is committed to Contractor Safety Management

- Vendor safety history reviews are only one of several Risk management tools used for vendor qualification and selection
- An Effective CSMP requires continuous team work involving:
 - Generation Engineering
 - Plant Operations
 - Purchasing Services
 - Safety Services
 - Internal Organizations and
 - Vendors/Contractors
- Exceptional Contractor Safety Management Programs begin before contract award, during every project, and even after contract completion.

Questions/Comments

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